

01-25-01

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EXPRESS MAIL CERTIFICATE

Date 1/23/01 Label No. 6706719616Us

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Name (Print) D. B. PeckSignature [Signature]

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PATENT TRADEMARK OFFICE

JC654 U.S. PTO

09/768697



01/23/01

DARBY & DARBY P.C.

805 Third Avenue
New York, New York 10022
212-527-7700

Docket No: 6727/11204US1

Box PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Shlomo SHLAFMAN; Boris BACHELIS

Title: ANALYSIS OF FINANCIAL DERIVATIVES

including the items indicated:

1. Specification and 48 claims: 3 indep.; 45 dep.; _ multiple dep.
2. ☒ Executed declaration and power of attorney
☐ Unexecuted declaration and power of attorney
3. ☒ Formal drawings, 6 sheets (Figs. 1-6)
☐ Informal drawings, _ sheets (Figs.)
4. ☒ Assignment for recording to: INTERNATIONAL BUSINESS MACHINES CORPORATION
5. ☐ Verified Statement Claiming Small Entity Status
6. ☒ Check in amount of \$1254.00, (\$1214 filing; \$40 recording)
(See attached **Fee Computation Sheet**)

09768697-012301

7. ☐ Preliminary Amendment
8. ☐ Information Disclosure Statement
9. ☒ Please amend the description by inserting the following paragraph after the line containing the title on page 1:
"This patent application claims the priority of U.S. provisional patent application No. 60/202,793, filed on May 9, 2000, which is incorporated herein by reference."

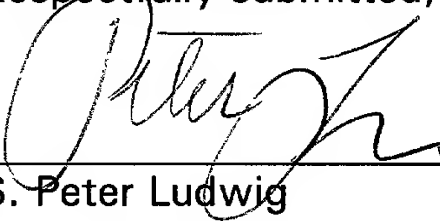
Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:
Number:
Date:

The priority documents ☐ are enclosed
☐ will follow.

Date: January 23, 2001

Respectfully submitted,



S. Peter Ludwig
Reg. No. 25,351
Attorney for Applicant(s)

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	48 - 20	- 0 = 28	x \$18.00	\$504.00
Independent Claims	3 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL				\$1214.00
Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$1254.00